

Policy 10.13

POLICY TITLE: COMMUNICATION AND COUNSEL TO THE BOARD OF DIRECTORS		POLICY #: 10.13			
Topic Area: Executive Responsibility Applies to: Chief Executive Officer, Chief Compliance Officer, Chief Financial Officer Developed and Maintained by: CEO and Designees Supersedes: N/A		Issued By and Approved By: Board of Directors		REVIEW DATES	
				11/18/21	
				1/8/2025	
				7/22/2026	
		Effective Date: 9/17/16		Revised Date: 7/22/2026	

I. PURPOSE

To support sound decision-making, the Entity Board of Directors receives timely, relevant information from Entity executive staff.

II. POLICY

Chief Executive Officer

The Lakeshore Regional Entity (the "Entity") Chief Executive Officer (CEO) ensures the Entity Board of Directors is informed and supported in its work.

The CEO will:

1. Provide required monitoring data in a timely, accurate, and easy-to-understand format that directly addresses the provisions being monitored and includes the CEO's interpretation and relevant supporting data.
2. Promptly inform the Entity Board of Directors of any actual or anticipated noncompliance with Entity Board of Directors policies.
3. Provide information that helps the Entity Board of Directors understand relevant trends.
4. Inform the Entity Board of Directors of significant matters, including impending media coverage, threatened or pending litigation, and material internal or external changes.
5. Advise the Entity Board of Directors when, in the CEO's opinion, the Board is not in compliance with its policies, particularly when Board behavior may harm the working relationship between the Board and the CEO.
6. Present information clearly and concisely, and distinguish among (a) monitoring, (b) decision preparation, and (c) other information.
7. Maintain a workable mechanism for official communications from the Entity Board of Directors, officers, and committees.
8. Communicate with Board members in a fair and consistent manner, without favoring or privileging any member, except when fulfilling individual

information requests or responding to duly authorized officers or committees.

9. Submit a consent agenda for items delegated to the CEO that must be approved by the Entity Board of Directors by law, regulation, or contract, along with applicable monitoring information.

Chief Financial Officer and Chief Compliance Officer

The Chief Financial Officer and Chief Compliance Officer have direct access to the Entity Board of Directors.

III. APPLICABILITY AND RESPONSIBILITY

This policy applies to the Entity Board of Directors, Entity CEO, Entity Chief Compliance Officer, and the Entity Chief Financial Officer.

IV. MONITORING AND REVIEW

The CEO and Board review this policy annually.

V. DEFINITIONS

Entity – Also referred to as Lakeshore Regional Entity or LRE, is the Prepaid Inpatient Health Plan (PIHP) for Region 3 as defined in 42 CFR Part 438 and meets the requirements of MCL 330.1204b of the Michigan Mental Health Code.

VI. RELATED POLICIES AND PROCEDURES

- A. Compliance Policies and Procedures
- B. Board Policies and Procedures
- C. Board By-Laws

VII. REFERENCE/LEGAL AUTHORITY

N/A

CHANGE LOG Date of Change	Description of Change	Responsible Party
11/18/21	Moved procedure to policy section. Added language from 10.17	CEO and Board
1/8/2025	Added Entity Definition	CEO
3/16/2026	Edited for concision, readability, and positive tone; added Executive Summary	CEO and Board